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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/929,849	08/14/2001	Brian Christopher Hart	2001-0128.00	9848

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LEXMARK INTERNATIONAL, INC.  
INTELLECTUAL PROPERTY LAW DEPARTMENT  
740 WEST NEW CIRCLE ROAD  
BLDG. 082-1  
LEXINGTON, KY 40550-0999

EXAMINER

CULBERT, ROBERTS P

ART UNIT

PAPER NUMBER

1763

DATE MAILED: 01/13/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/929,849

Applicant(s)

HART ET AL.

Examiner

Roberts Culbert

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 31 December 2002.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 2-7 and 13-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-7 and 13-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☒ Claim(s) 2-11 and 13-20 are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

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## **DETAILED ACTION**

### ***Election/Restrictions***

Applicant's election with traverse of Group I claims 2-7, and 13-20 in Paper No. 4 is acknowledged. The traversal is on the ground(s) that the same classes and subclasses must be searched for both groups of claims and therefore does not place an undue burden on the examiner. This is not found persuasive because the classes and subclasses searched are not the same for each group of claims.

Because these inventions are distinct and have acquired a separate status in the art as shown by their different classification and recognized divergent subject matter, and the search required for Group I is not required for Group II, restriction for examination purposes as indicated is proper, and is therefore made FINAL.

Claims 8-11 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention.

### ***Response to Amendment***

The declaration filed on 12/31/02 under 37 CFR 1.131 has been considered but is ineffective to overcome the Powers reference.

The evidence submitted is insufficient to establish diligence from a date prior to the date of reduction to practice of the Powers reference to either a constructive reduction to practice or an actual reduction to practice. Where conception occurs prior to the date of reference, but reduction to practice is afterward, it is not enough merely to allege that applicant or patent owner

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has been diligent. *Ex parte Hunter*, 1889 C.D. 218, 49 O.G. 733 (Comm'r Pat. 1889). Rather applicant must show evidence of facts establishing diligence. See MPEP 2138.06.

***Claim Rejections - 35 USC § 103***

Claims 19 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,402,301 to Powers et al. in view of U.S. Patent 5,454,928 to Rogers et al. and as further evidenced by U.S. Patent 5,105,588 to Verley et al. and U.S. Patent 6,045,214 to Murthy et al. Rogers teaches a method for forming vias completely through a circuit substrate using laser drilling. Before the step of laser drilling, Rogers teaches the application of a water-soluble polymer layer to protect the surface from debris (Column 2, Lines 52-55). The coating is removed along with the debris after the drilling step (Column 2, Lines 59-61).

Rogers does not teach the use of grit blasting to form the vias, and does not show the formation of vias in an ink-jet semiconductor chip. Powers does show formation of vias in an ink-jet semiconductor chip using grit blasting (Column 1 Lines 50-52). The semiconductor chip is made from silicon (Column 3, Lines 55-56), and the vias are formed through the entire substrate (Column 4, Lines 12-13). It would have been obvious to one of ordinary skill in the art at the time of invention to use the protective layer of Rogers in the grit blasting step of Powers in order to protect the surface of the substrate from debris, since Rogers teaches that other means of forming vias may be used with the process disclosed (Column 2, Lines 63-65), and grit blasting is a preferred method for forming vias in an ink-jet semiconductor chip as shown by Powers. Further, grit blasting and laser drilling are art recognized equivalents for the purpose of forming vias in an ink-jet semiconductor chip as shown by Verley (Column 1 Lines 16-34), and it has

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been held that substitution of one art-recognized equivalent for another is *prima facie* obvious.

*In re Fout*, 297, 213 USPQ 532 (CCPA 1982).

Regarding the various layers associated with an ink-jet semiconductor chip, it is well known in the art that an ink-jet semiconductor chip contains resistive, conductive, insulative, and protective layers as shown by Powers (Column 1, Lines 34-39). Powers also teaches the use of a photoresist layer (Column 5, Lines 3-6). The application of a photoresist layer to an ink-jet semiconductor substrate is a well-known step to those of ordinary skill in the art as further evidenced by U.S. Patent 6,045,214 to Murthy et al. (Column 4, Lines 1-8).

Regarding Claim 6, Verley teaches the use of aluminum oxide and silicon carbide for grit blasting vias in a silicon ink-jet substrate (Column 1, Lines 46-47). It would have been obvious to one of ordinary skill in the art at the time of invention to use the particles of Verley in order to suitably form vias in the silicon substrate using grit blasting.

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Powers in view of Rogers, Verley and Murthy and in further view of U.S. Patent 4,950,583 to Brewer et al. As applied above, Powers, Rogers, Verley and Murthy disclose the method of the invention substantially as claimed, but do not show the use of a silane adhesion promoter. Brewer teaches the use of silane adhesion promoters in the application of a photoresist to a silicon substrate (Column 1, Lines 36-41). It would have been obvious to one of ordinary skill in the art at the time of invention to use the adhesion promoter described in Brewer in order to increase the adhesion of the photoresist to the substrate.

Claims 2,3,5,7,8, 9,10, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Powers, in view of Rogers, Verley, Murthy and Brewer, and in further view of U.S. Patent

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6,448,313 to Patel. As applied above, Rogers, Powers, Verley, Murthy and Brewer disclose the method of the invention substantially as claimed, but Rogers teaches the use of a water-soluble polymer as a temporary protective layer. Rogers does not show the use of a polyacrylamide layer. Patel teaches the use of polyacrylamide in a temporary protective layer. Patel further teaches that polyacrylamide is a water-soluble polymer. (Column 2, Lines 22-26). It would have been obvious to one of ordinary skill in the art at the time of invention to use the water-soluble polymer of Patel in order to suitably form a temporary protective coating.

Regarding Claims 10 and 11, the claims differ from the prior art only by specifying the thickness of the adhesion promoter, photoresist and polyacrylamide layers. However, no evidence is provided to indicate the criticality of the stated range. The recitation of the dimensions of a claimed invention are not sufficient to patentably distinguish over the prior art unless it can be shown that the recited changes produce a new or unexpected result. Even where the claimed ranges and prior art ranges do not overlap, but are close enough that one skilled in the art would have expected them to have the same properties, a *prima facie* case of obviousness exists. *In re Wertheim*, 191 USPQ 90 (CCPA 1976). *Titanium Metals Corp. of America v. Banner*, 227 USPQ 773 (Fed. Cir. 1985).

Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Powers in view of Rogers, Verley and Murthy, and in further view of U.S. Patent 5,677,063 to Kamiyama et al., and U.S. Patent 6,409,312 to Mrvos et al. As applied above, Rogers, Powers, Verley and Murthy disclose the method of the invention substantially as claimed, but do not teach spin coating the protective layer or the finishing steps of attaching nozzle plates, dicing the wafer, connecting TAB circuits, and connecting the nozzle plate/chip assemblies to printhead bodies to form

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printheads. Kamiyama teaches that spin coating, blade coating and roll coating are known methods for the purpose of forming a thin layer of polyacrylamide (Column 27 Lines 15-21). It would have been obvious to one of ordinary skill in the art at the time of invention to use spin coating in order to suitably deposit a water-soluble protective layer.

Regarding the step of grit blasting, it would have been obvious to one of ordinary skill in the art at the time of invention to grit blast the vias from the side of the wafer that does not contain the resistive conductive and insulative layers in order to prevent the particles from damaging the components.

The finishing steps of attaching nozzle plates, dicing the wafer, connecting TAB circuits, and connecting the nozzle plate/chip assemblies to printhead bodies to form printheads, are well-known in the art of forming ink jet printheads as shown in Mrvos (Column 3 Lines 22-27). It would have been obvious to one of ordinary skill in the art at the time of invention to apply the same finishing steps in order to complete the printhead assembly in the well-known manner.

Claims 13-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Powers in view of Rogers, Verley, Murthy, Kamiyama, and Mrvos, and in further view of Patel. Rogers, Powers, Verley, Murthy, Kamiyama, and Mrvos disclose the method of the invention substantially as claimed, but Rogers teaches the use of a water-soluble polymer as a temporary protective layer. Rogers does not show the use of a polyacrylamide layer. Patel teaches the use of polyacrylamide in a temporary protective layer. Patel further teaches that polyacrylamide is a water-soluble polymer. (Column 2, Lines 22-26). It would have been obvious to one of ordinary skill in the art at the time of invention to use the water-soluble polymer of Patel in order to form a temporary protective coating.

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Regarding Claims 13 and 16, Verley teaches the use of aluminum oxide and silicon carbide for grit blasting vias in a silicon ink-jet substrate (Column 1, Lines 46-47). It would have been obvious to one of ordinary skill in the art at the time of invention to use the particles of Verley in order to form vias in the silicon substrate using grit blasting.

Claims 17 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Powers, in view of Rogers, Verley, Murthy, Kamiyama, and Mrvos.

The above-cited claims differ from the prior art only by specifying the thickness of the photoresist and polyacrylamide layers. However, no evidence is provided to indicate the criticality of the stated range. The recitation of the dimensions of a claimed invention are not sufficient to patentably distinguish over the prior art unless it can be shown that the recited changes produce a new or unexpected result. Even where the claimed ranges and prior art ranges do not overlap, but are close enough that one skilled in the art would have expected them to have the same properties, a *prima facie* case of obviousness exists.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period



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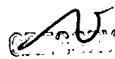
will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Roberts Culbert whose telephone number is (703) 305-7965. The examiner can normally be reached on Monday-Friday (7:30-4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gregory Mills can be reached on (703) 308-1633. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9310 for regular communications and (703) 872-9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0661.

January 9, 2003

  
SUPERVISOR  
TELEPHONE: (703) 308-1633  
FAX: (703) 872-9311